

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

MCP-0054

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

10/018314

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US00/11902

2 May 2000

4 May 1999

## TITLE OF INVENTION

STRUCTURE-BASED DESIGN OF COMPOUNDS THAT INHIBIT DETRIMENTAL  
CYTOTOXIC T LYMPHOCYTE RESPONSES

APPLICANT(S) FOR DO/EO/US

JAMESON, Bradford and TRETIAKOVA, Anna

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:
  - 1) Courtesy copy of the International Application;
  - 2) Statement to support filing and submission in accordance with 37 CFR 1.821-1.825 and sequence listing;
  - 3) Return post card.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/018314</b> )	INTERNATIONAL APPLICATION NO. <b>PCT/US00/11902</b>	ATTORNEY'S DOCKET NUMBER <b>MCP-0054</b>
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**24.** The following fees are submitted:

<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b>	<b>CALCULATIONS PTO USE ONLY</b>																				
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .	<b>\$1040.00</b>																				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .	<b>\$890.00</b>																				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .	<b>\$740.00</b>																				
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .	<b>\$710.00</b>																				
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .	<b>\$100.00</b>																				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>\$100.00</b>																				
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30	<b>\$0.00</b>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>3 - 20 =</td> <td>0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3">Multiple Dependent Claims (check if applicable).</td> <td align="center"><input type="checkbox"/></td> </tr> <tr> <td colspan="3"><b>TOTAL OF ABOVE CALCULATIONS</b></td> <td align="right"><b>= \$100.00</b></td> </tr> </tbody> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	3 - 20 =	0	x \$18.00	Independent claims	3 - 3 =	0	x \$84.00	Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>= \$100.00</b>	<b>\$0.00</b>
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Total claims	3 - 20 =	0	x \$18.00																		
Independent claims	3 - 3 =	0	x \$84.00																		
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>																		
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>= \$100.00</b>																		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.	<b>\$50.00</b>																				
<b>SUBTOTAL =</b>	<b>\$50.00</b>																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30	<b>\$0.00</b>																				
<b>TOTAL NATIONAL FEE =</b>	<b>\$50.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>	<b>\$0.00</b>																				
<b>TOTAL FEES ENCLOSED =</b>	<b>\$50.00</b>																				
	Amount to be refunded \$																				
	charged \$																				

a. ☒ A check in the amount of     \$50.00     to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.     50-1619    . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Jane Massey Licata  
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**Jane Massey Licata**  
 NAME  
  
**32,257**  
 REGISTRATION NUMBER  
  
**November 2, 2001**  
 DATE

10/018314  
Rec'd PCT/PTO 02 NOV 2001

MCP-0054

CERTIFICATE OF EXPRESS MAILING

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No. EL859835024US  
Date of Deposit: November 2, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the "BOX PCT", Assistant Commissioner for Patents, Washington, D.C. 20231.

- 1) Transmittal Letter (2 copies);
- 2) PCT International Application;
- 3) International Search Report;
- 4) International Preliminary Examination Report;
- 5) Return Postcard;
- 6) Check in the amount of \$50.00;
- 7) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825; and
- 8) Diskette containing computer readable copy of Sequence Listing.

Jane Massey Licata  
JANE MASSEY LICATA